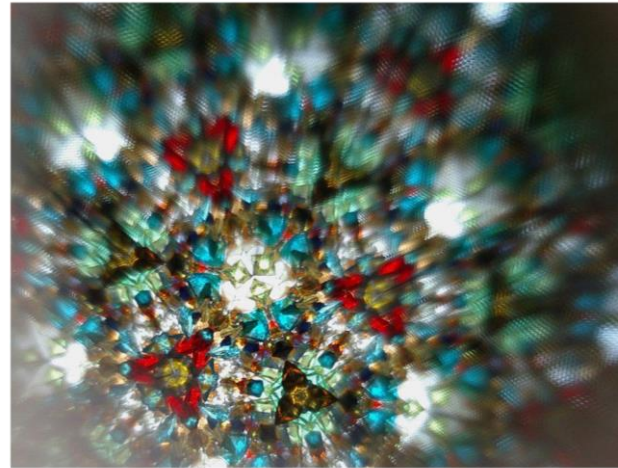


The Critical Importance of Systems Thinking and Mental Models to Achieving the Sustainable Development Goals

Systems, Networks, and SDGs



In a kaleidoscope world

Linda M. Kelley, William G. Russell, & Nalini Kumaran

[Transitioning to Green, LLC](#)

"Transforming Business Education & Practice, 2020" Oct. 26-29, 2020



Meet your presenters

Linda Morris Kelley
Principal,
Transitioning to Green,
LLC.



- Using a systems approach to develop the capacity, mindset, and presence to lead effective collaborations
- Using 3D virtual technologies and geospaces for collaborative problem-solving, and immersive learning
- Co-Chair, Berkshire Bridges Working Cities

William Russell, MBA
Principal,
Transitioning to Green,
LLC.



- Implements sustainability- aligned strategies & management and reporting systems
- Carbon accounting, mitigation and offsets through Agendi
- Former US environmental practice leader of PwC

Nalini M Kumaran
Consultant,
Transitioning to Green,
LLC.



- Seasoned HR professional, provides consulting in all areas of Human Resources Management
- Designed and developed this Living Fieldbook online resource



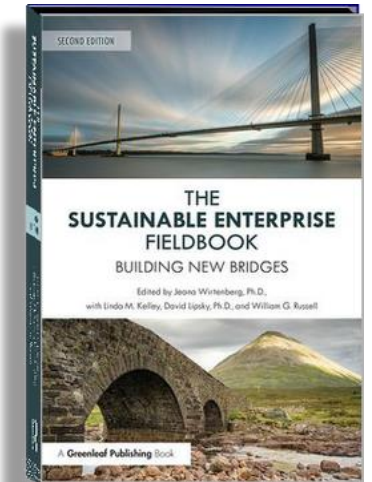
Using Systems Thinking & Integrated Thinking to “Identify paradoxes vs. problems”

Teaching Affiliations

Co-Authors Sustainability Reference Texts



**FAIRLEIGH
DICKINSON
UNIVERSITY**



Living Fieldbook & Learning Guides

www.thesustainableenterprisefieldbook.com/about.html

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*We are living through unprecedented times in history.
"Normal" will never be the same.*



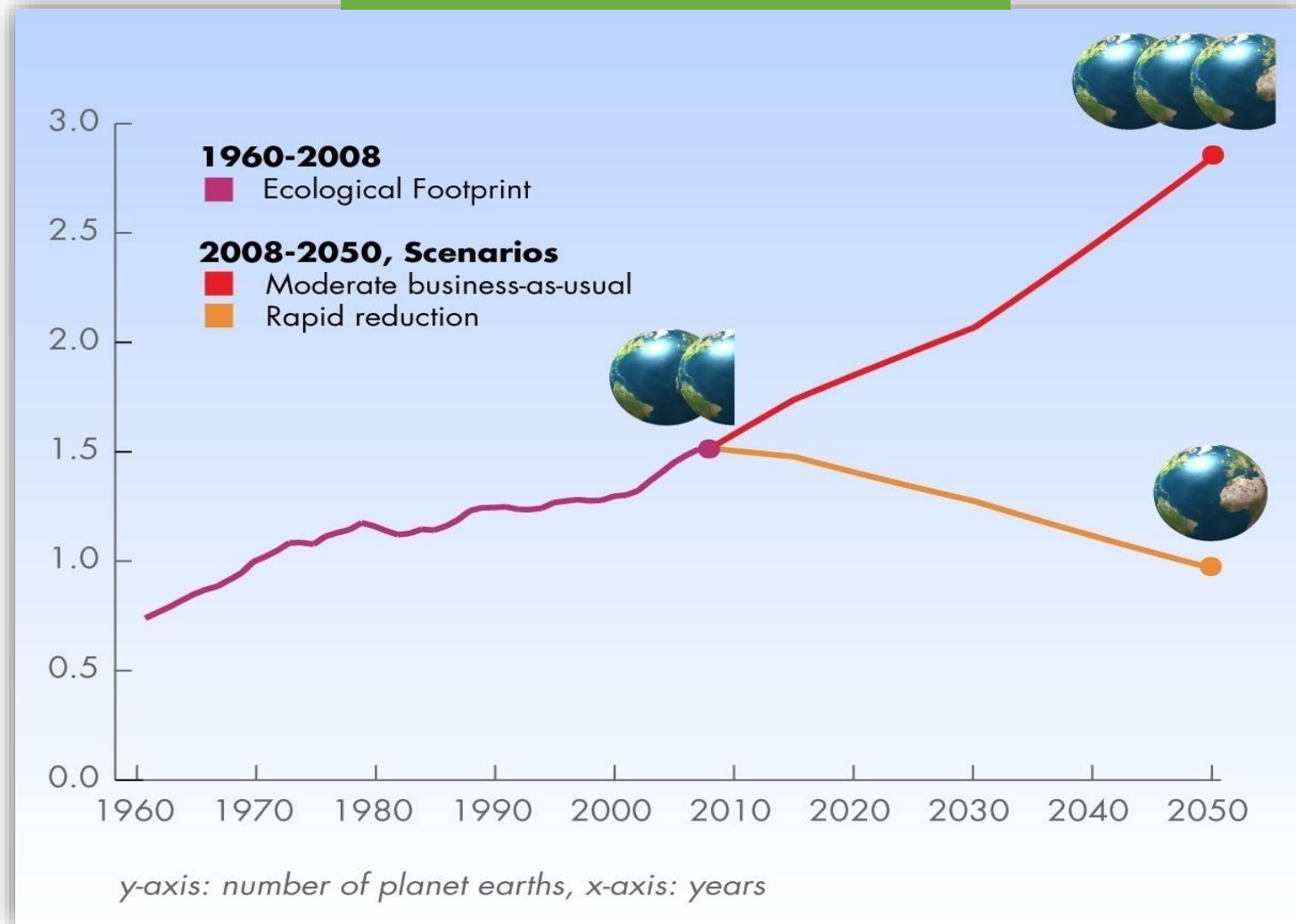
"The sustainability revolution will be organic. It will arise from the visions, insights, experiments and actions of billions of people. The burden of making it happen is not on the shoulders of any one person or group. No one will get the credit, but everyone can contribute."

Donnella Meadows



We have *ONLY 1 Earth!* Human consumption has thrown Earth's whole system into decline

Global Consumption Overshoot



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Agenda

► Welcome and context

► Systems

- a common understanding of systems and systems thinking for accelerating the achievement of SDGs.
- a more effective way of addressing sustainability challenges and opportunities

► Systems approach to progressing the SDGs

- Recognizing “the tragedy of the commons” helps to align personal interests with global interests
- Aligning SDGs using an interconnected nested systems approach

► New Bridges website preview – resources for shared learning, education, and collaboration

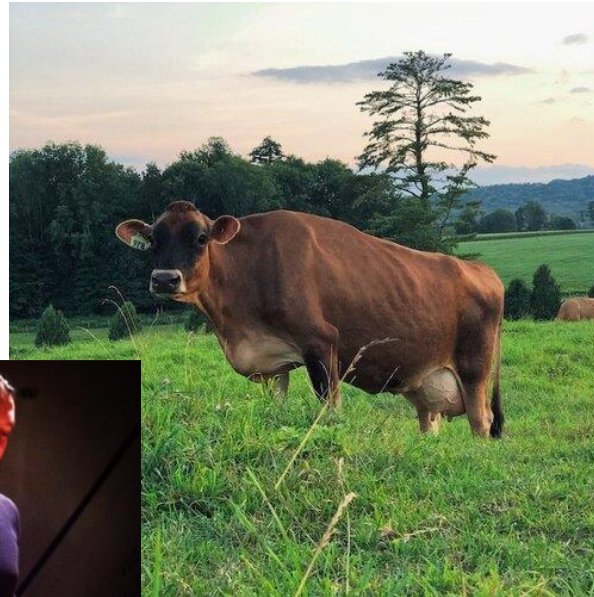


We invite and value your input



Put questions and comments into the **Q & A** at any time.
We'll address them during the presentation or afterwards via email.

Coffee, cows, and Honda



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Poll



• What was your morning beverage?

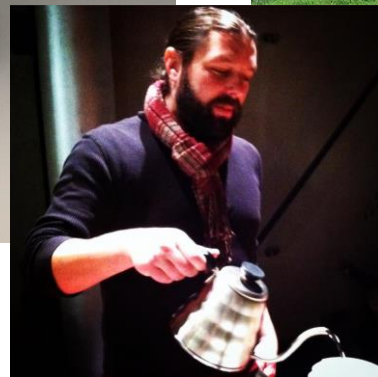
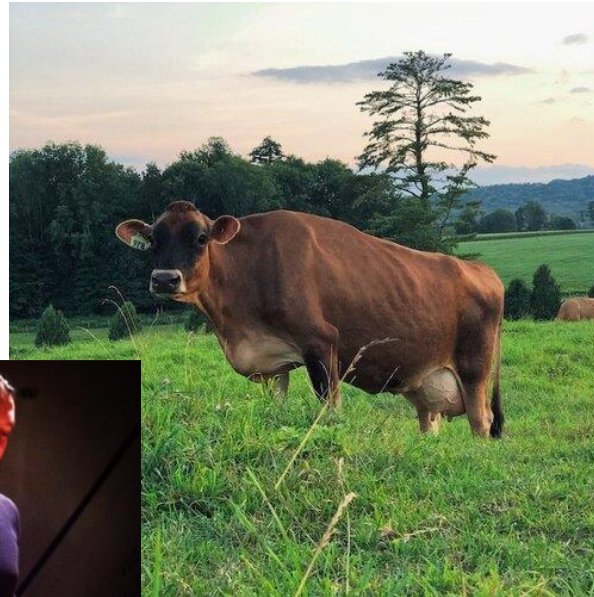
- Coffee?
- Tea?
- Fruit juice?
- Water?
- Other?

Poll results



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Coffee, cows, and Honda



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Systems and systems thinking

What is a system?

System constructs

Nested systems for sustainability

Mental models and systems change: human operating systems

Life Cycle thinking as a tool for systems assessment

We must learn to solve complex problems by leveraging system synergies and interconnections and shifting mindsets to embrace diversity, rebuild trust and foster collaboration.

- **System:** A set of elements or components that is coherently organized and interconnected in a pattern or structure that produces a characteristic set of functions and all together comprise a whole. This whole organization is greater than it's components and is different in "function" or "purpose" than any of its components are alone. Living systems are dynamic and self-organizing.
- **Systems thinking** is the process of understanding how components influence one another within a whole. In nature, systems thinking examples include ecosystems in which various elements such as air, water, movement, plants, and animals work together to survive or perish.

"The world is a complex, interconnected, finite, ecological - social - psychological - economic system. We treat it as if it were not, as if it were divisible, separable, simple, and infinite. Our persistent, intractable global problems arise directly from this mismatch."

Donella Meadows



Sustainability-aligned organizations examine the assumptions, priorities, relevance, and performance expectations.

System Constructs

- **Functions or its purpose:** Why does it exist? For the sake of what? What role do its products and services contribute to its stakeholder's wellbeing? Organizing frameworks and business models (Ultimate Ends)
- **Mental models:** System behavior expectations and rules. Mental models and archetypes; beliefs, systems change, and feedback loops.
- **Resources and inputs, Multi-capital system elements:** Stocks, flows and thresholds for capitals
- **Strategic Goals, Outputs, Impacts and Outcomes, and Resiliency**
- **Boundaries, edge zones, and interconnections**
- **Time horizons:** Critical, resilient, thresholds for capital flows with particular focus on constraining resources that might cause the system to fail.
- **Networks & Collaborations:** How might the enterprise system and its strategy be different if it were able to acquire, merge or develop strategic partnerships and collaborate with other stakeholders? What might be gained through sharing perspectives, resources, information and insights to collectively travel farther, more successfully and resiliently to pursue common purposes together?

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Everything we do, everything we are, depend on the supportive environment of Planet Earth.



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Nested systems, lenses, and focus shifts

Business Impacts Everything

“Corporate sustainability starts with a company’s value system and a principles-based approach to doing business.”
~ UN Global Compact



Inventing sustainability is in our hands

Without use of the resources of this supportive planet, humanity would not exist.



Sustainability is:

- ✓ Personal
- ✓ Local
- ✓ Regional
- ✓ Global



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If sustainability is in our hands...

What do we do?



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**Your beliefs become your thoughts,
Your thoughts become your words,
Your words become your actions,
Your actions become your habits,
Your habits become your values,
Your values become your destiny.**

~ Mahatma Gandhi ~



Mental models comprise...

Our mental models drive all our

- **feeling**
- **thinking**
- **acting**



Mental models are human operating systems.



Mental models are:

- Personal, social, cultural simultaneously
- Home for our habits
- Instructions for assessing what is true or false, right or wrong, real or unreal for us
- Determinants of what we believe about ourselves and how we assess other people – “I am...” “You are...” “They are...” “I am not...” “You are not...” “They are not...”
- Dynamic and iterative learning systems with feedback loops that are critical to updating, modifying, and changing the models: “Building the bridge as we walk on it”
- Interacting links and networks of instructions where some ties are stronger than others
- Resistant to change

Versatility within a sustainable whole



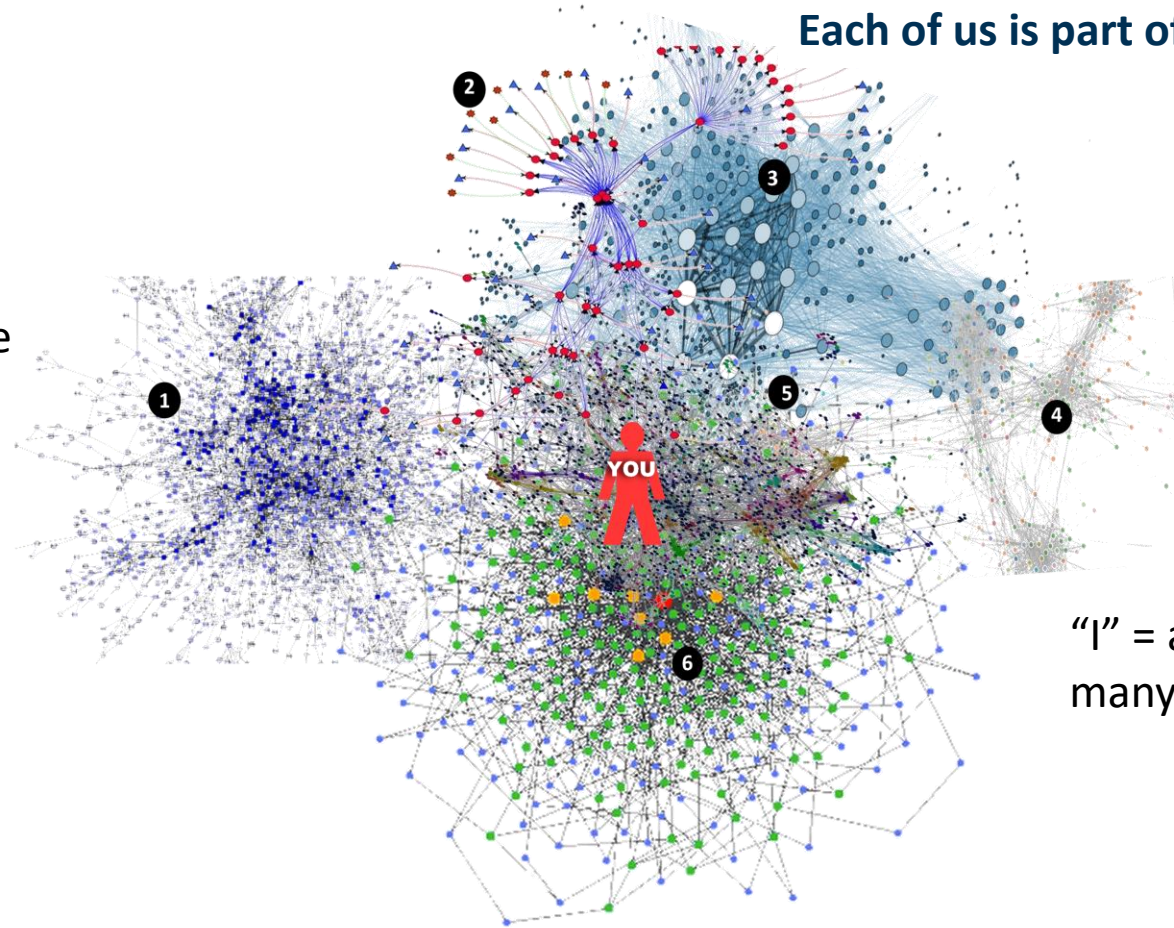
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Chapter 2. Mental models for a sustainable enterprise
The Sustainable Enterprise Fieldbook



Mental models and networked systems

Which of your networks are most influential on you?



Each of us is part of many networks

In which of your networks are you most influential?

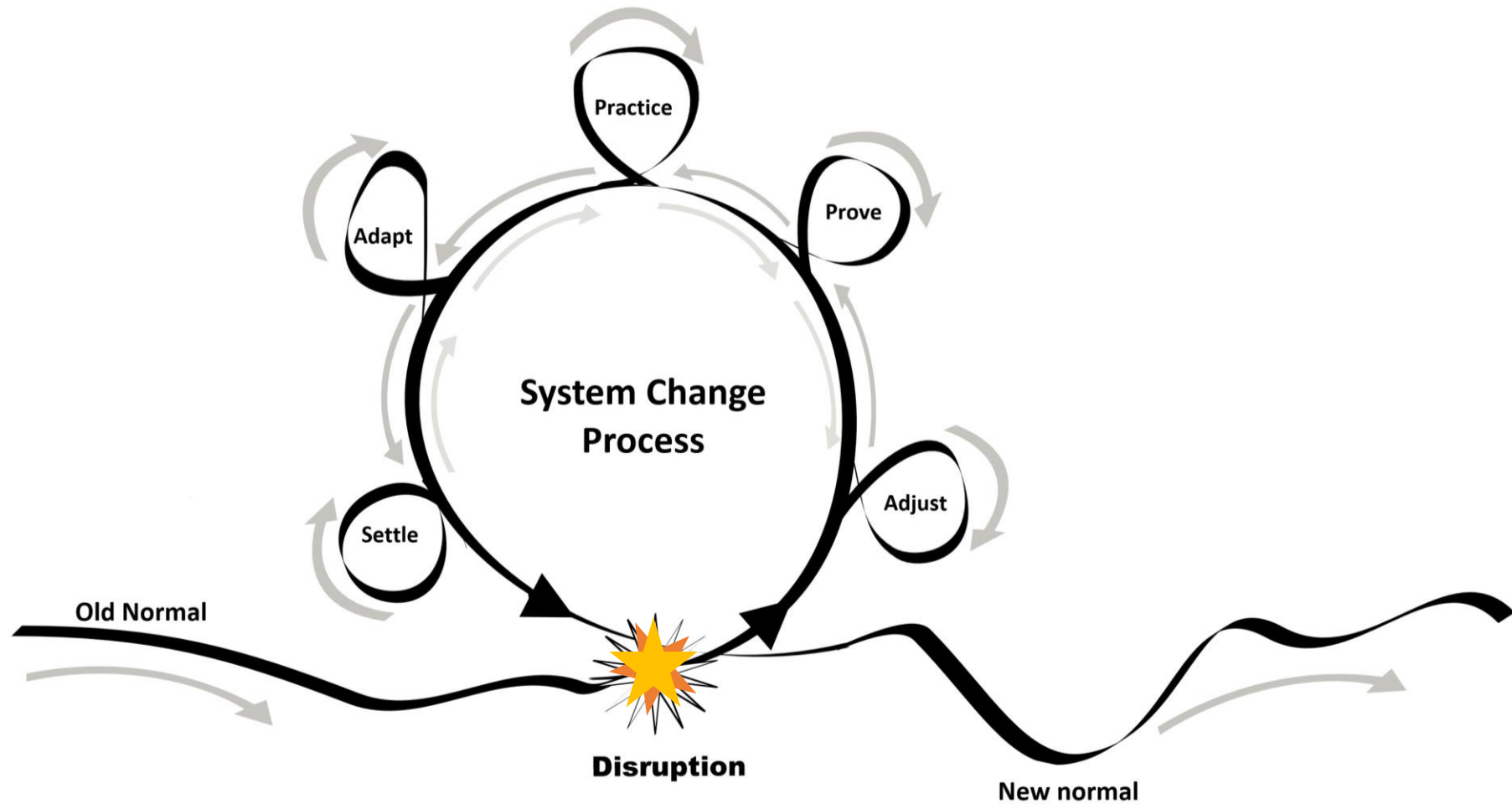
“I” = a system embedded in many other systems



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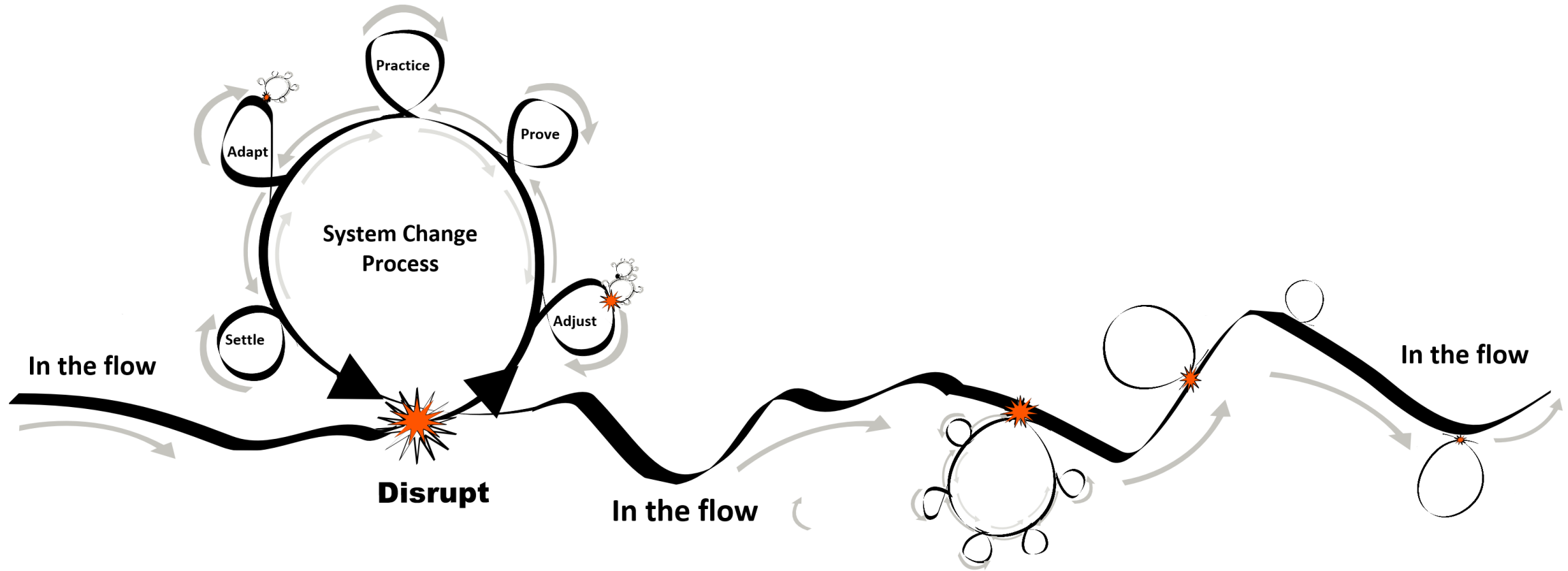
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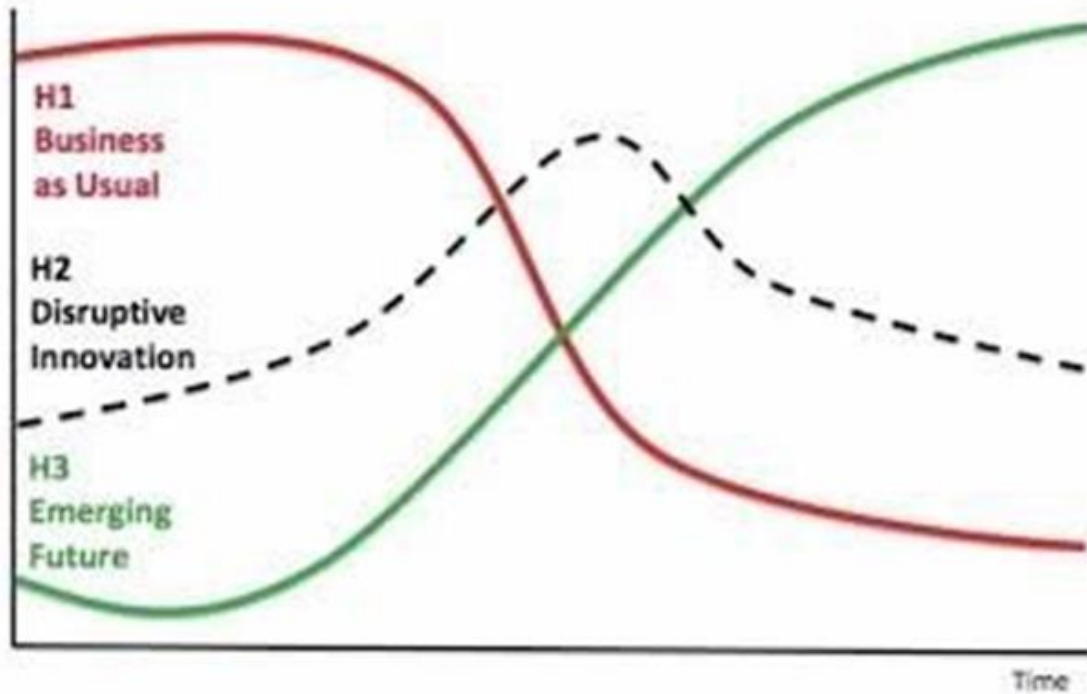




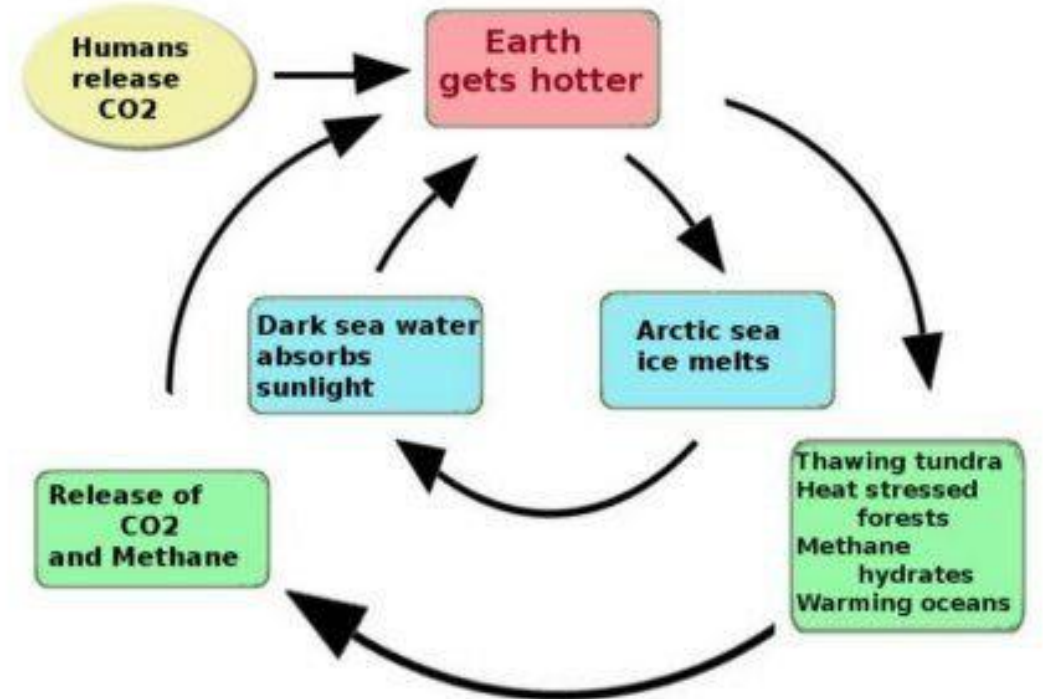
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Three Horizons Framework for Transformational Change

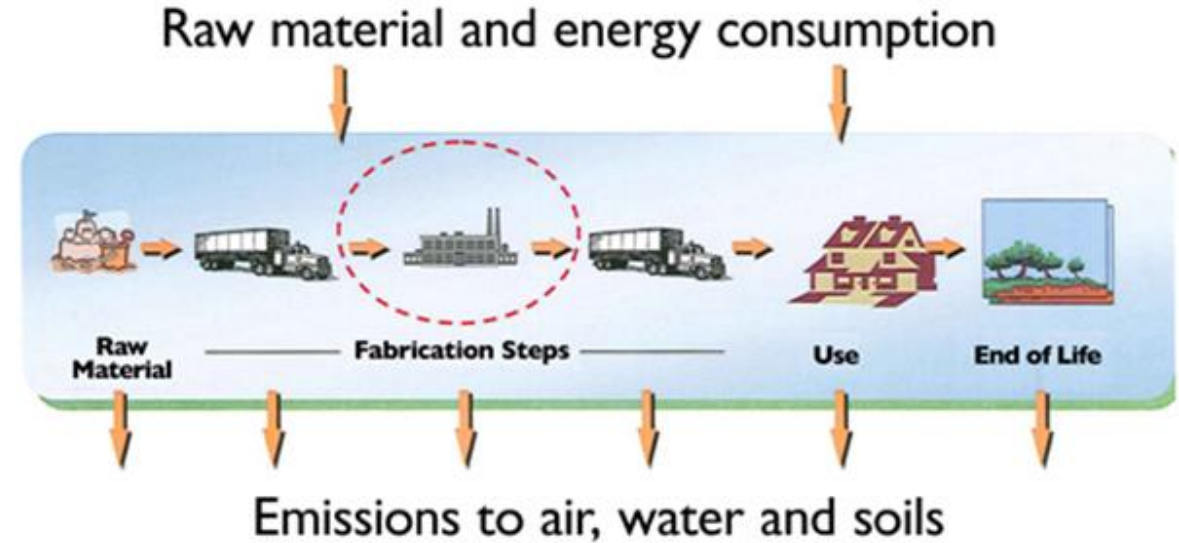
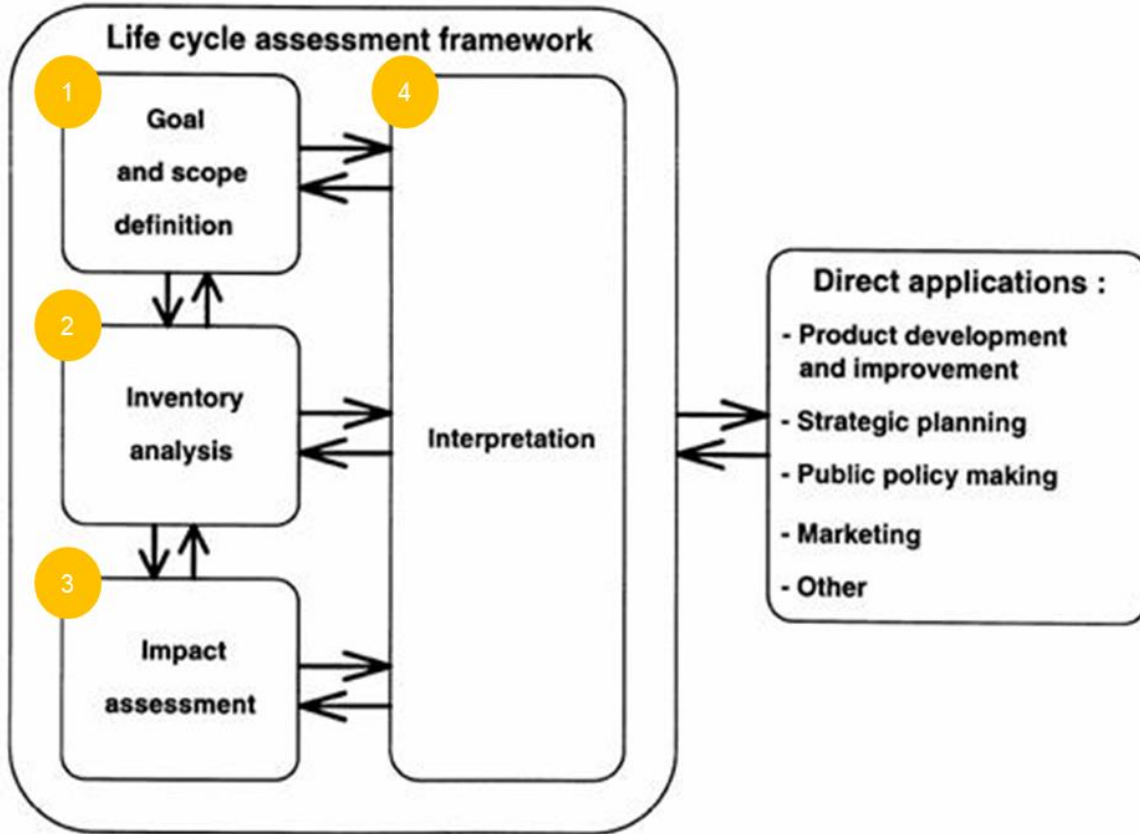


Climate Feedbacks



LCA is a framework methodology that allows for more standardization and scaling of complex systems

ISO 14040 and 14044 describe the four main phases of an LCA:

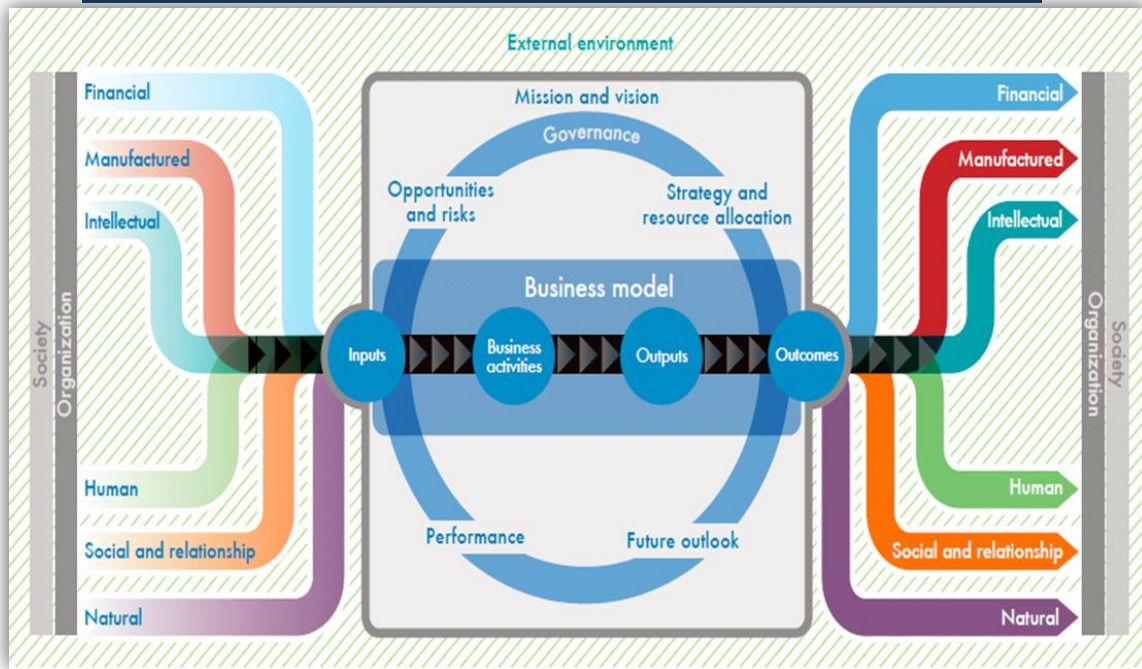


Insights: LCA is an iterative process.



- Classification schemes place things into “like” groups and enables better understanding of the inter-relationships among diverse groups
- LCA studies are grouped by purpose, scopes and impacts

Integrated Reporting includes major value chain stages



Classification schemes exist for value chain stages and purposes

Input Classifications:

- GHS: The Globally Harmonized System of Classification and Labeling of Chemicals
- LCI: Life cycle resource inventories (i.e. Materials Energy, Labor, Land)
- IR: Multi-capital resource accounting (i.e. Natural, Built, Social, Human, Intellectual)
- Bar codes – International Bar Code of Life (iBOL)

Business Activity Classification:

- ISIC: International Standard Industrial Classification of All Economic Activities

Outputs - Products and Services Classification:

- CPC: Central Product Classification
- HD: Harmonized Commodity Descriptions and Coding
- SITC: Aggregated classification of transportable goods for international trade statistics
- PCR: Product Category Rules – LCA System boundaries; data quality and other attributes

Outcomes – Impact and Outcome Classification:

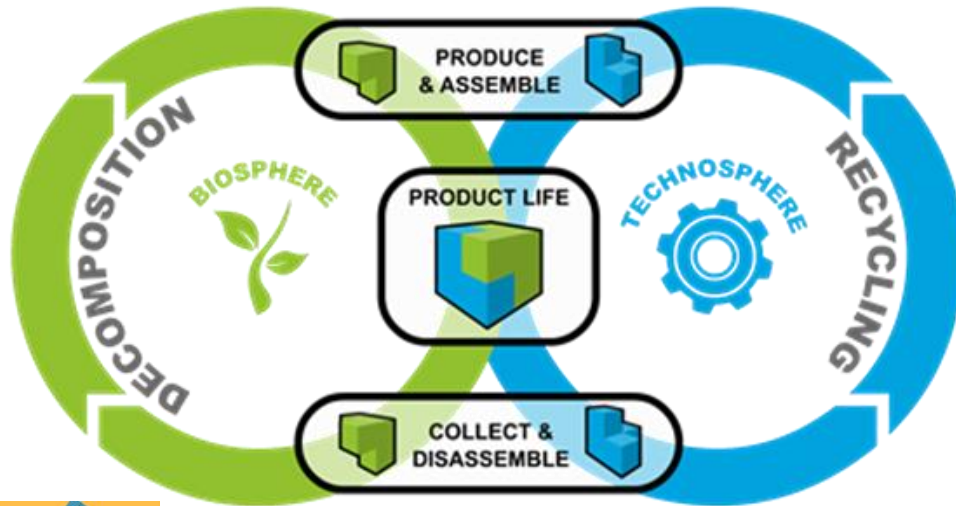
- GHS: Hazard classifications of chemicals
- LCI : Life cycle impact categories (i.e. Carbon Footprint)
- SDG: Sustainable Development Goals
- PB: Planetary boundaries (resilient ecosystems)



LCA and Related Assessment Frameworks align system resource inputs and inventories to system impacts.

LCA Related Assessment Frameworks

- **Input – Output models** are quantitative economic models that represents the interdependencies between different sectors of a national economy or different regional economies.
- **Circular Economy** is a framework building upon cradle to cradle material flows to create an economy that is restorative and regenerative by design.



Related Assessment Frameworks

- **Actuarial Risk analysis** such as those used by the insurance industry to model flooding, agricultural crop, hurricane or forest fire risks among others.
- **Environmental impact assessments** or environmental management systems.
- **Human health risk assessments** tracing virus spreads and toxic chemical inputs, exposure pathways and potential health impacts such as cancer and other illness risks.
- **Benefit-cost analysis**, Activity Based Costing and Economic Value Added (EVA) methods of assessing financial risks and returns.

LCA and related resource flow models alone are not sufficient assessment solutions to monitor and solve complex economic, social and environmental problems.



Physical and transitional risk assessments integrate science-based and values-based impacts to align financial resource allocations.

Physical risks are external environmental risks caused by climate change that impact the company.

Transition risks are the risks associated with evolving from the current system to a new future system.

Physical Risk Examples



Acute Risks – Event driven:

- More than 160 companies in Thailand's textile industry harmed by 2011 **floods**, stopping about a quarter of the country's garment production.
- Constellation Energy experiencing reduced quarterly earnings of about \$0.16 per share due to the record-setting 2011 **heat wave** in Texas that forced it to buy incremental power at peak prices.

Chronic Risk – Long term changes:

- Agribusiness and food company Bunge reporting a \$56 million quarterly loss in its sugar and bioenergy segments, driven primarily by **droughts** in 2010 in its main growing areas.

Transition Risk Examples



- **Transition risks** include policy changes, reputational impacts, shifts in market preferences, norms and technology.
- **Transition risks** are extremely interconnected with physical risks and so those impact assessment models should align to some common outcome.
- In practice governments and businesses have not yet been able to overcome their self interests to optimize mitigation responses and avoid tragic existential risks to humans.

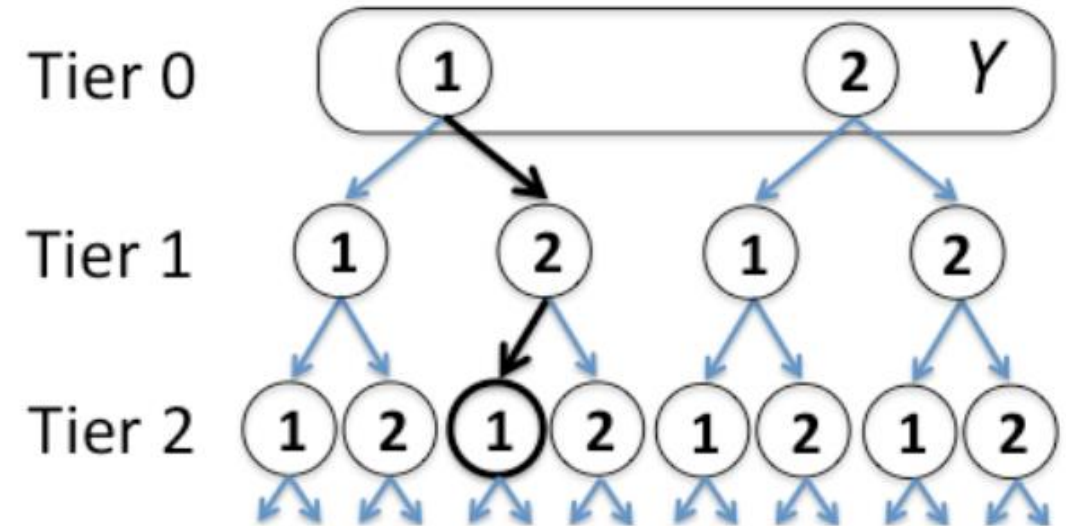
Heat Map Risk and Opportunity Assessments identify emergent risk mitigation and innovation opportunity paths to optimize resources and outcomes.

Heat maps are tools to present the results of a risk assessment process *visually* and in a meaningful and *concise* way. ... It involves evaluating the *likelihood* and *potential impact* of *identified risks*.

Heat Map Insights align risk mitigation and innovation opportunities

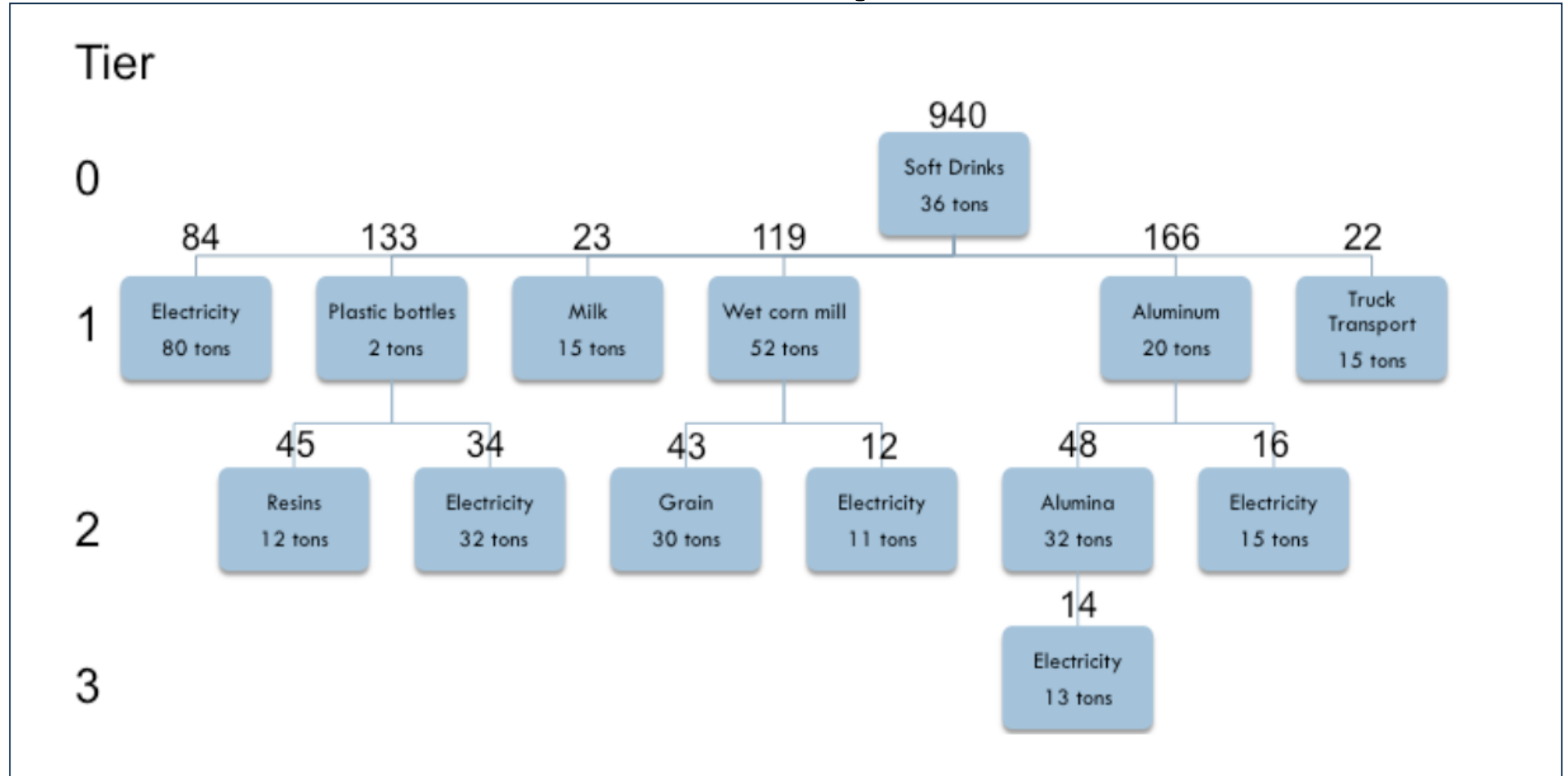
- LCA methods consider all potential paths of a modeled system as separate entities, instead of summarizing aggregated results.
- Network analysis follows LCA process matrix-based methods.
- Structural Path Analysis follows IO-based methods.
- Hybrid methods model parameter changes to estimate effects of alternative designs, use of processes, or other assumptions.

Supply Chain Hierarchy for a 2 – Sector Economy



Heat Map can assess impact hot spots and align risk mitigation and innovation opportunities.

I/O model Carbon Hot Spots for Soft Drinks



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Sustainable Development Goals (SDGs)

Sustainable development is more than a goal. It is our responsibility to our planet and future generations.

Antonio Guterres
Secretary-General of the United Nations



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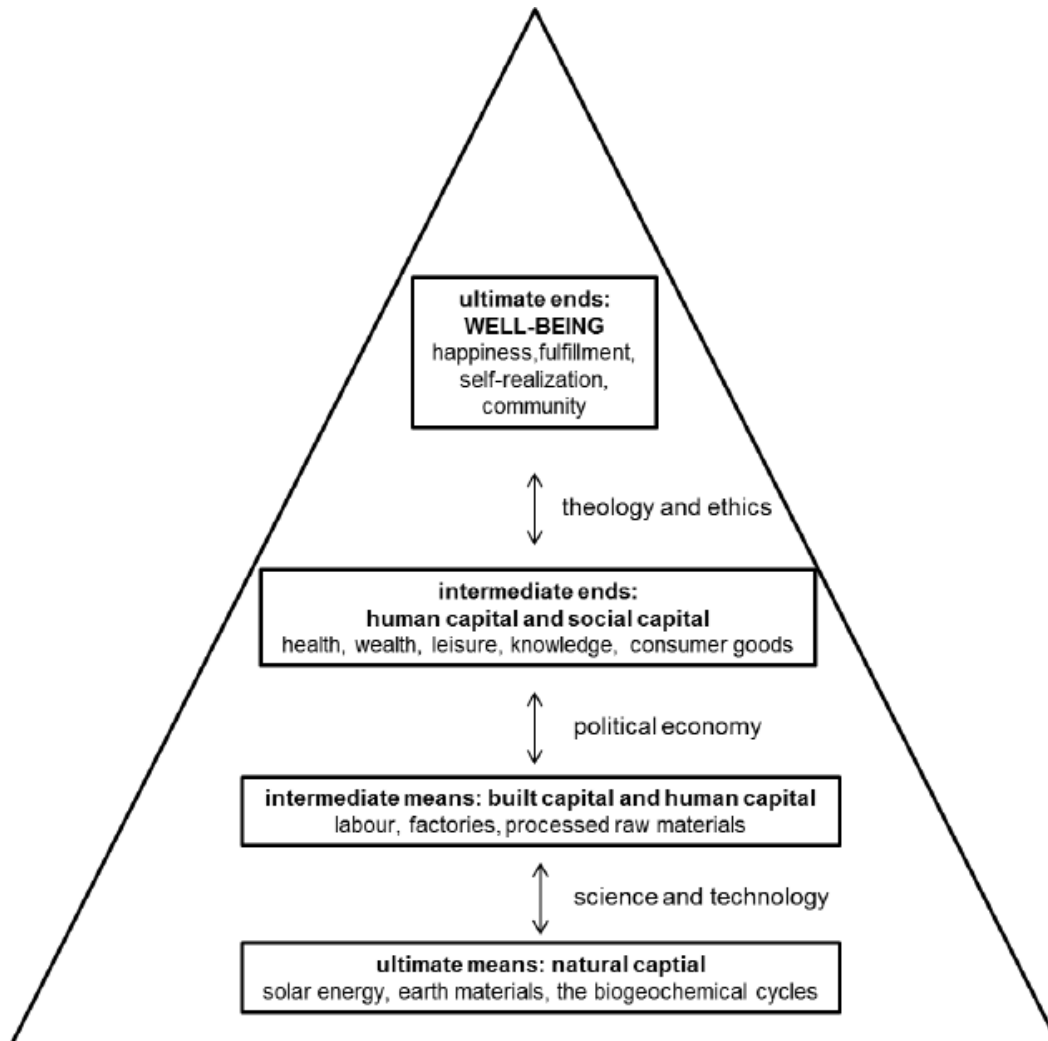
In late 2019, **infectious diseases** were assessed to be of high impact but low probability of occurring.

The risk factor for global pandemic has now risen 28%.

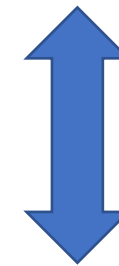


The Daly Triangle

Thriving: The Ultimate Purpose!



Ultimate Ends

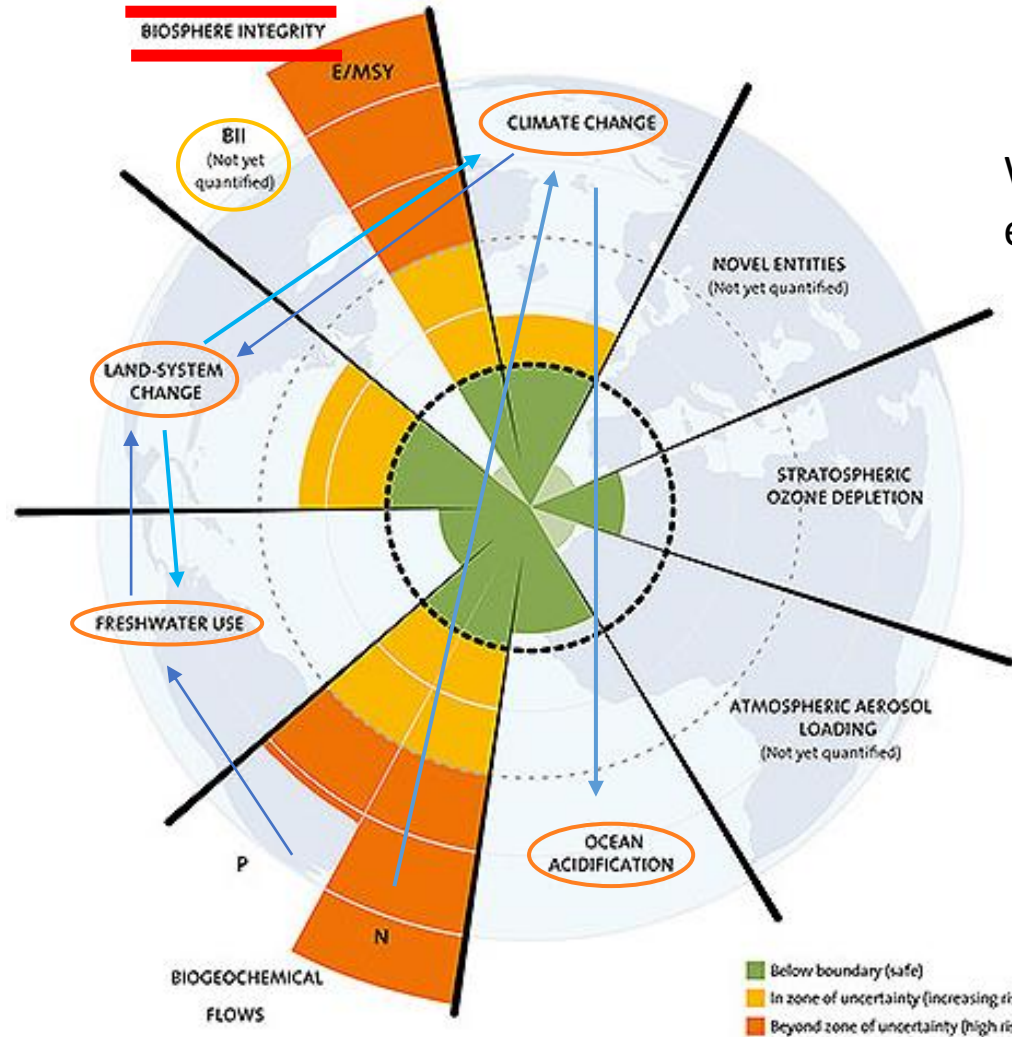


Ultimate Means

Planetary Boundaries and Ecosystem Services

Use of Earth system resources in context of recovery *within human-scale* timeframes

What are safe operating levels for each of these realms?



What are the thresholds for each of these realms?

How does this connect to SDGs?



Questions?



- Which frameworks do you use?
 - Ecological Footprint
 - Life Cycle Analysis/Input-Output Models
 - Circular Economy
 - Planetary Boundaries
 - World Economic Forum Risks
 - Sustainable Development Goals



Systems approach for the SDGs

Tragedy of the Commons

SDGs as nested systems

- Shifting core priorities changes outcome
- Centrality of Zero Hunger

Thresholds and Metrics



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Tragedy of the Commons: Humans acting out of a natural “self interest” instinct over consume or exploit limited resources depleting the common resource to the detriment of the whole group and ultimately to themselves.

Limited Resource System Consequences

- Failure to consciously know that a common resource is being over consumed does not prevent the tragedy.

“Pitfalls of inaction”

- The wealthy or powerful may consciously influence the system’s rules to unjustly protect their interests while knowingly allowing less privileged groups to suffer.

“The rich get richer”

The Tragedy of the Commons



Garrett Hardin

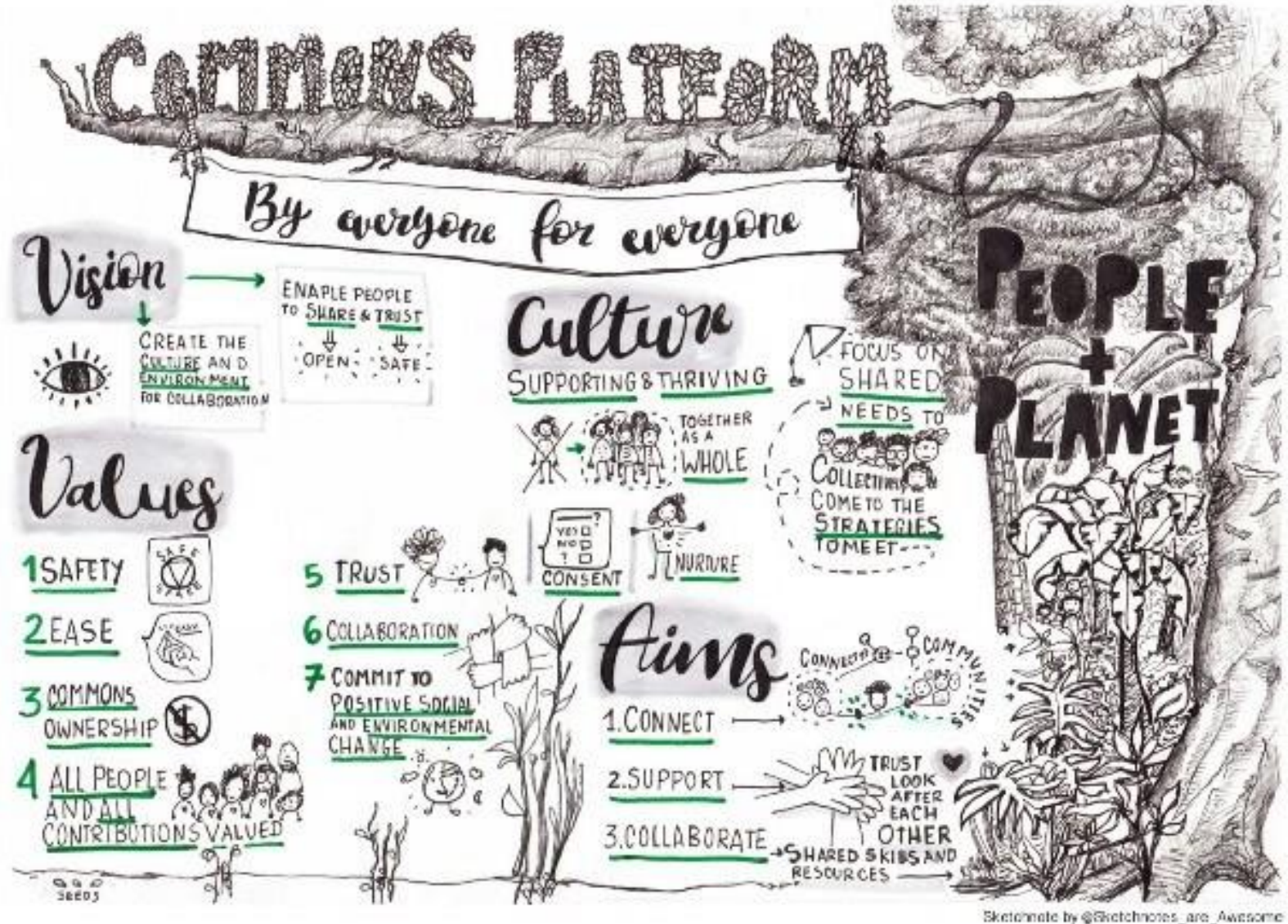
Individuals when they act independently following their self interests can deplete a common resource, contrary to a whole group's long-term best interests.

Source: Garrett Hardin, The Tragedy of the Commons, Science, Volume 162, Number 3859, pages 1243-1248, 13 December 1968.

“The scarcest resource is not oil, metals, clean air, capital, labor, or technology. It is our willingness to listen to each other and learn from each other and to seek the truth rather than seek to be right.” Donella Meadows

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- Solutions to the tragedy of the commons include:
 - The imposition of private property rights
 - Government regulation; or
 - The development of a collective action arrangement.
- Aligning people to both scale and expand boundaries for collective action to steward and justly distribute limited resources will require:
 - Transparency;
 - Trust;
 - A shared vision and goals; and
 - People acting in service of the whole.



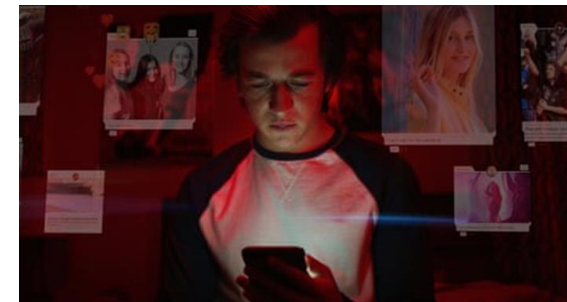
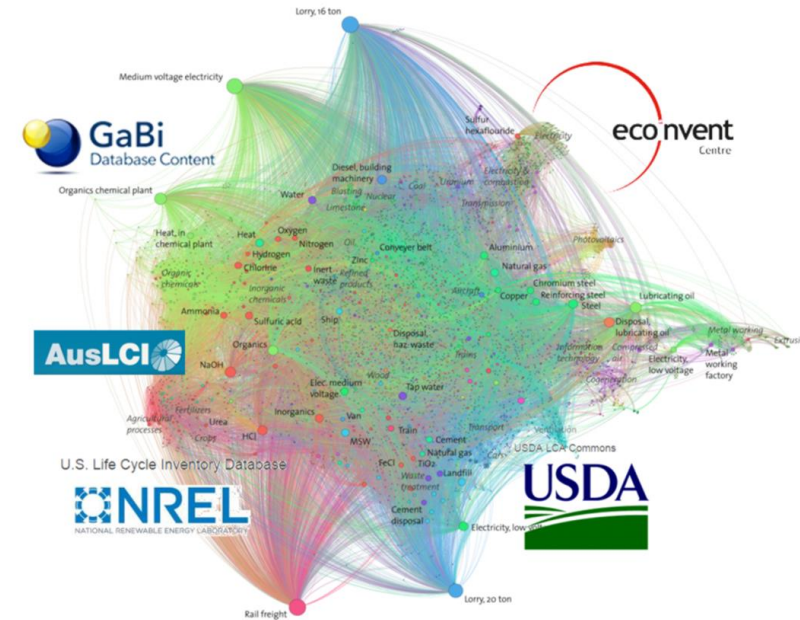
Technology developments like Big Data, AI, Machine Learning and Social Media have forever changed the world. We must use it wisely and not lose consciousness about being human.

• Technology Opportunities:

- Technology advancements provide one of our greatest opportunities for transformational systems change and achieving global sustainable performance.
- The ability to automate data collection and analysis is enabling lifecycle inventories and advanced impact assessment modelling.

• Technology pitfalls

- Human emotional and ecological intelligence awareness, shifting mindsets and building trust are more difficult barriers to change.
- Big data analytics and social media have unintentionally increased bias and divisiveness and is causing people to entrench self interests and advance the tragedy of the commons.



“In Huxley’s vision, no Big Brother is required to deprive people of their autonomy, maturity, and history. As he saw it, people will come to love their oppression, to adore the technologies that undo their capacities to think.”

Neil Postman, 1985

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Lenses for sustainability

Looking through the lens of a kaleidoscope rearranges the patterns we see, sharpening our focus on particular areas.

Six lenses for
Sustainable
Globalization
Political Integration

In the process, we identify new relationships among components and possibilities we hadn't noticed before.



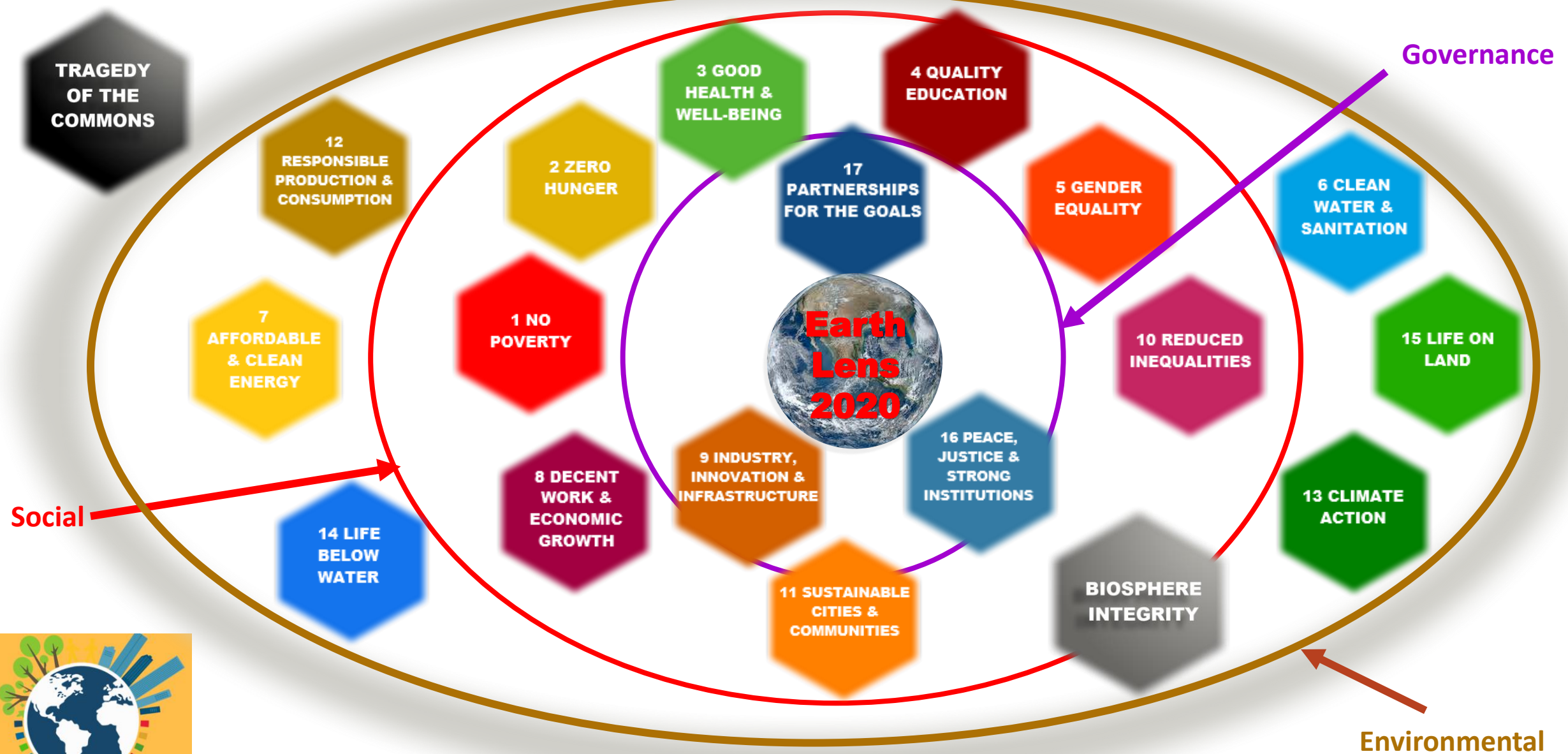
Sustainable Development Goals Lens : Economic Self-Interest



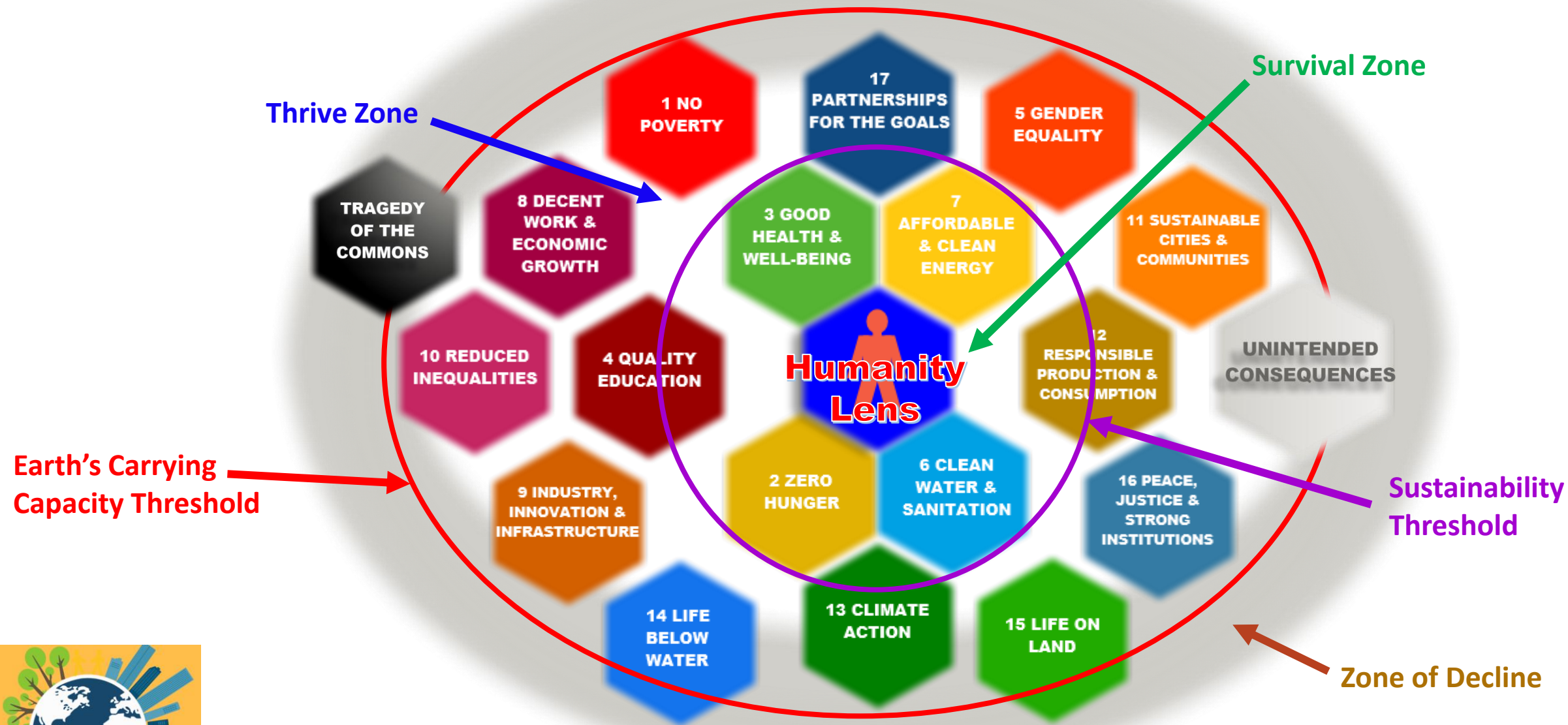
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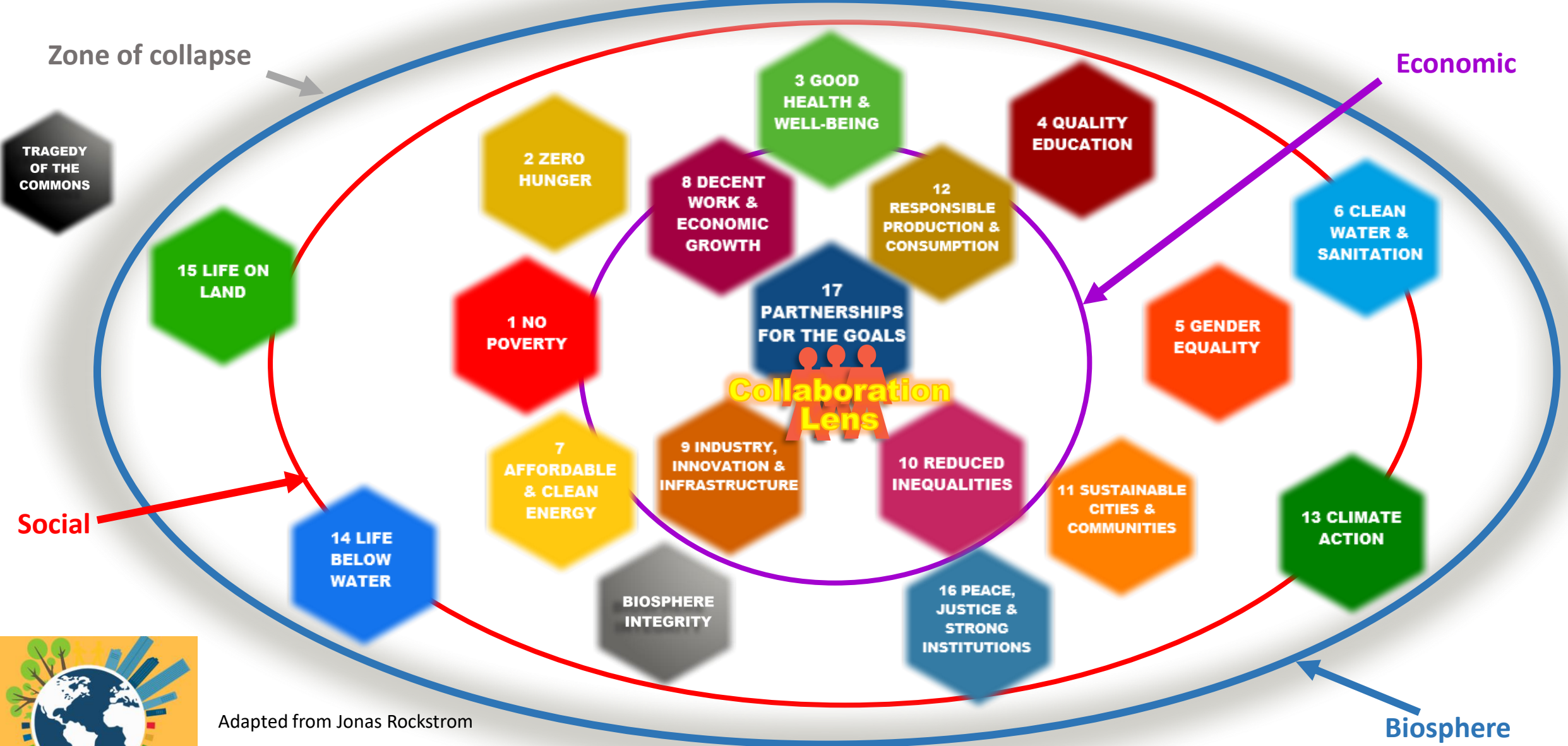
Sustainable Development Goals Lens: Earth-Centric



Sustainable Development Goals Lens Nesting: Humanity



Sustainable Development Goals Lens: Collaboration

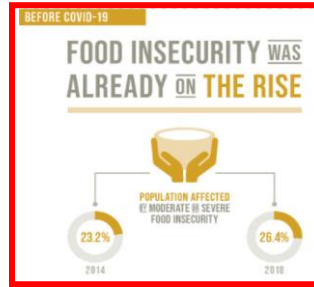


Adapted from Jonas Rockstrom

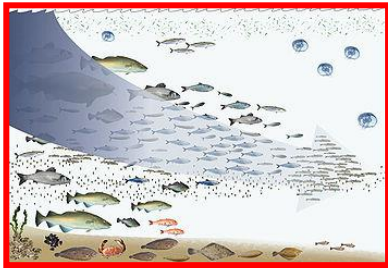
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2 Billion food insecure



70% in crisis state



Who is chronically hungry?

Who must collaborate to achieve Zero Hunger?



SMALL-SCALE FOOD PRODUCERS ARE HIT HARD BY THE CRISIS

Small-scale farmers produce 75% of the world's food

COMPRISING 40%-85% OF ALL FOOD PRODUCERS IN DEVELOPING REGIONS



What systems maintain hunger?

What systems must change?

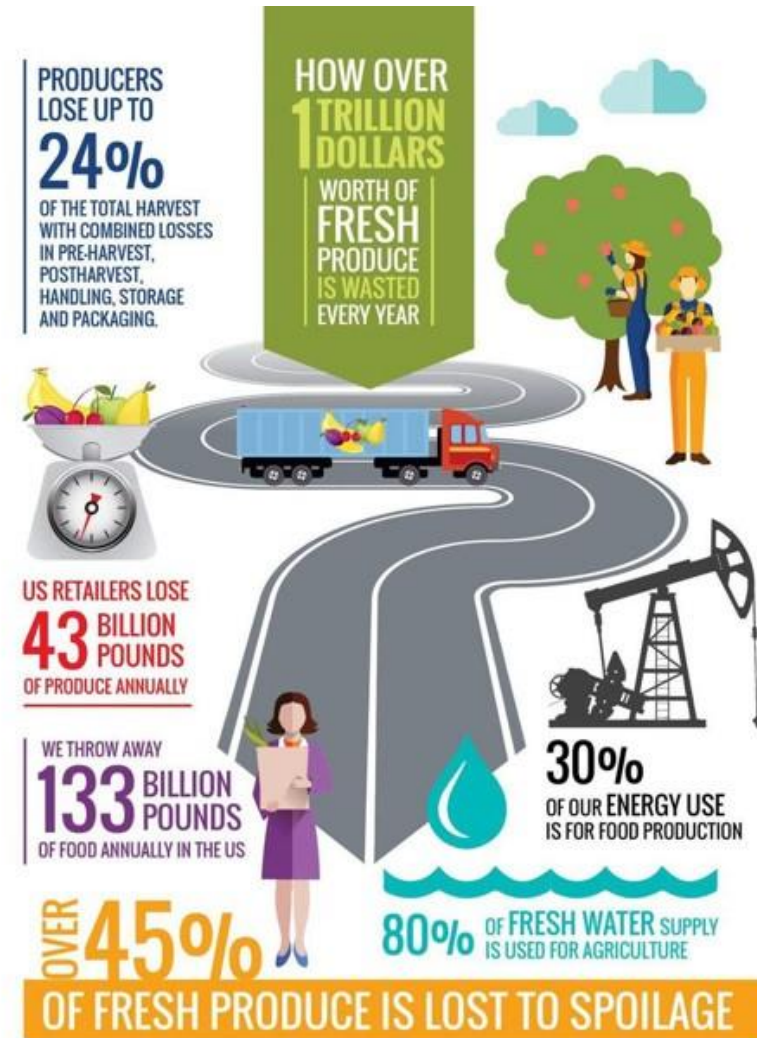


Siloed home and work life systems consume vital limited resources and create tremendous waste. People are rushed and so don't take the time to reflect on their life's purpose and the impacts and outcomes of their actions.

Current practices are “insanely” wasteful

“Normal is getting dressed in clothes that you buy for work and driving through traffic in a car that you are still paying for – in order to get to the job you need to pay for the clothes and the car, and the house you leave vacant all day so you can afford to live in it.”

Ellen Goodman, American journalist



How do we know if our behaviors and choices for future paths are moving us toward or away from a more sustainable and thriving world?

Sustainability Performance

- Internal sustainability metrics will supply intelligence that aligns an enterprise's need for knowing with informed business decisions and effective strategic actions.
- External sustainability measures inform policy / markets
- Companies acknowledge their lack of metrics as a top factor hindering implementation of sustainability programs.
- **Sustainability Accounting** enables financial and non-financial performance alignment.

"When indicators are poorly chosen they can cause serious malfunctions....The choice of indicators is a critical determinant of the behavior of a system." **Donella Meadows**





- **Transparent and shared** input data across value chains to design and align with optimal paths
- **Develop, monitor and adjust** known and unknown threshold conditions and impact assessment methods to enable science-based targets
- **Shared standards** with adaptable KPI's to facilitate scaled implementation and shorter system feedback loops.
- **Without these we are driving blind on a highway.**

A Typology of Goals and Metrics

- Science-Based: Grounded in the sciences (any), but with no organization-specific allocations defined; or if so, they are Context-Based
- Ethics-Based: Grounded in principles of justice, fairness, or equity, but with no organization-specific allocations defined; or if so, they are Context-Based
- Context-Based: Science- or Ethics-Based and which also includes organization-specific allocations or standards of performance expressed in terms of (or tied to) the carrying capacities of vital capitals



If it were to operate forever, business would not only do no harm, it could do well by doing some net good.

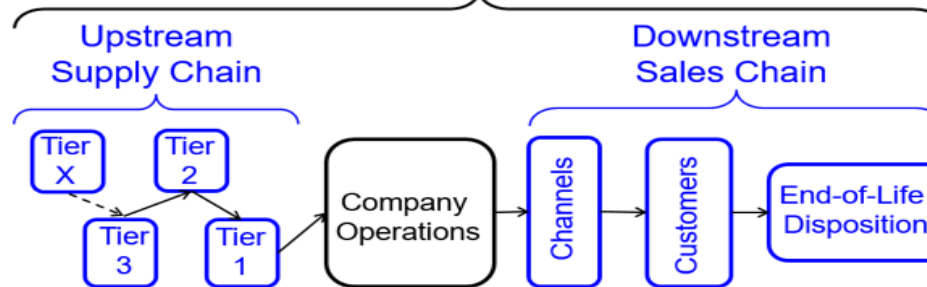
Future-Fit Business



A Future-Fit Business creates value while in no way undermining – and ideally increasing – the possibility that humans and other life will flourish on Earth forever.

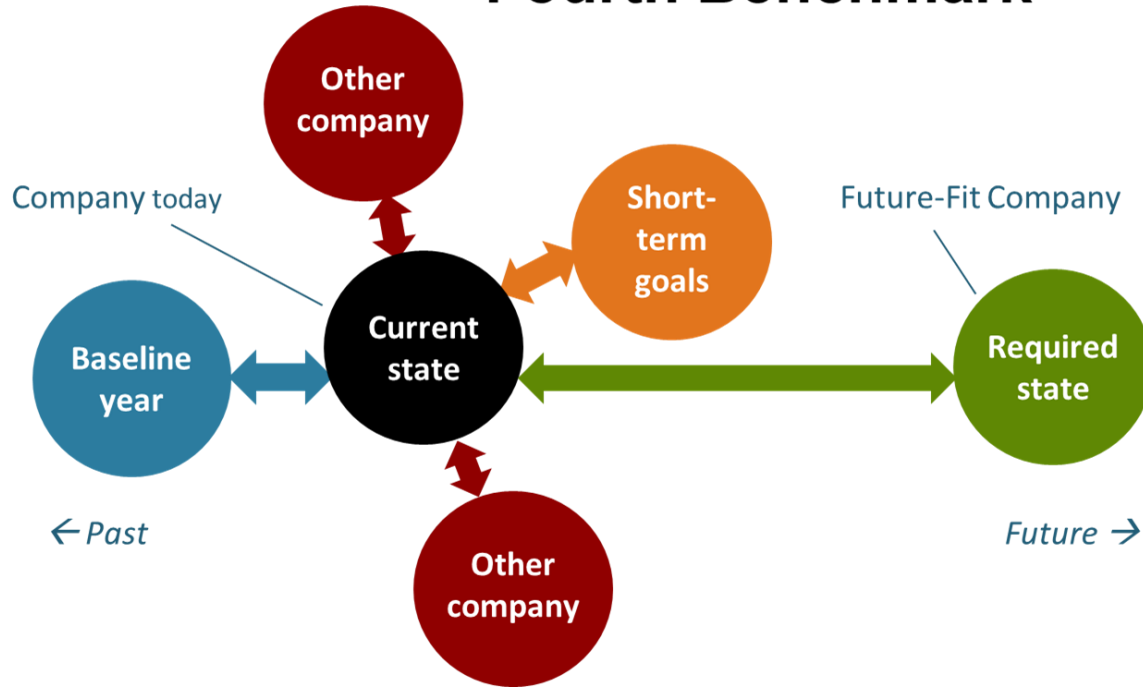
MUTUAL ACCOUNTABILITY SCOPE

Value Chain / Life Cycle

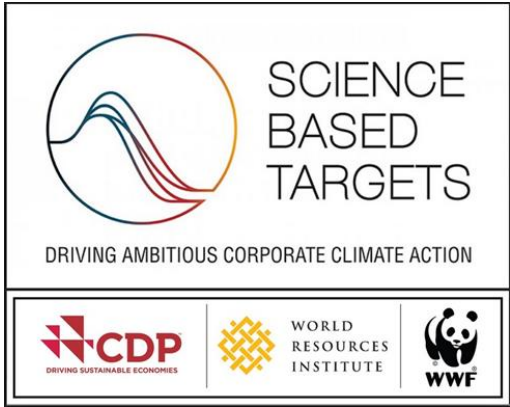


Thriving: Privileged companies assume responsibility to sponsor science based and socially responsible innovations to achieve a regenerative and flourishing future.

Fourth Benchmark



Thriving: Science-based Systems



Thriving: The company is accomplishing its purpose for its customers while having no net decline in natural capital, improving the wellbeing of its employees and the communities within which it operates, and its products are used.

"The future is not some place we are going to but one we are creating. The paths are not to be found, but made, and the activity of making them changes both the maker and the destination."
 John Schaar, Professor Emeritus, UC Santa Cruz



Poll



Where in the educational system transformation do you see yourself contributing?

- **Incrementally improve education curriculum** to integrate the SDGs
- **Disrupt the current policies and practices** by innovating educational methods and content
- **Anticipate and create** the future state of education
- **Practice and advocate for learning as a way of being**



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Poll Results and Questions

Bridges to the New Future

- Building shared knowledge for continual learning
- Fostering collaboration
- Aligning personal contributions with global sustainability
- Living Fieldbook as a tool for educating and training



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“This moment, the moment we are all in together, is our greatest opportunity to forge a new path forward.”

Welcome to The Sustainable Enterprise Fieldbook’s Building New Bridges to the Future.

Finding hope and purpose in the midst of global disruptions



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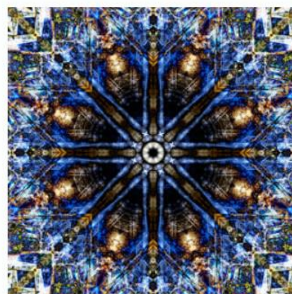
ABOUT THE BOOK

LIVING FIELDBOOK

BUILDING NEW BRIDGES TO THE FUTURE

PERSONAL LEVEL

You cannot go back and recreate the past; You can't step into the



“ *As happens with the turn of a kaleidoscope, our accumulated skills and experiences reorder and recombine to make new original patterns time after time; we're always a work-in-progress.....Gain insights on how individuals successfully embrace the new normal and navigate the uncertainties caused by COVID Pandemic*

- *There's a Crack in Everything. That's Where the Light Gets In:*The idea is that everything is flawed. Yet, there is also hope despite the flaws. It is through the cracks where the light comes in. Certainly, the cracks in our economic, social, political, leadership, and ecological systems have become manifest in the wake of the ongoing Covid-19 pandemic. Many people who might not have recognized those cracks prior to the emergence of Coronavirus may now have to admit that the system itself needs dramatic transformation, not restoration or tinkering around the edges.
- *The Virus Is a Reminder of Something Lost Long Ago In rebuilding a broken world, we will have the chance to choose a less hurried life.....*With the forced slowing of life granted by the coronavirus, we are now seeing an explosion of creative ideas and innovations in many parts of the world. In Italy, quarantined citizens are singing from balconies. Writers have created new blogs. Parents have developed new art projects for their children. But there is something more to be regained, something more subtle, more delicate, almost impossible even to





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CHAPTER 1 : LEADERSHIP FOR A SUSTAINABLE ENTERPRISE

LEARNING GUIDE FOR CHAPTER 1



ACTIVITIES

- [Discussion Questions for the Leadership](#)
- [Engaging the Natural Tendency of Self Organization](#)
- The Process Enneagram, pp. 45-48
- The Leadership Diamond, pp. 55-56
- Nature and Domains of Leadership, pp. 57-60
- Domains of Leadership, pp. 60-63
- Processes and Practices for Sustainable enterprise, pp. 63-64
- Reflections on leadership from ancient traditions and Earth wisdom, pp. 64-69



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CHAPTER 1 - LEARNING GUIDE

Activity 1: Leadership for a sustainable enterprise overview includes:

- A pre-reading to be assigned prior to the workshop
- Four brief activities that together provide an overview of the entire chapter
- Activities take 50 minutes

Files include:

- Pre-reading: [Transformation](#) (pdf)
- Instructor's Guide - Leadership for a Sustainable Enterprise Overview Activity - The Leadership Diamond (pdf)
- The Leadership Diamond presentation (PPT)



Activity 2: Nature and domains of leadership for a

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https://www.routledge.com/The-Sustainable-Enterprise-Fieldbook-Building-New-Bridges-Second-Edition/Wirtenberg-Kelley-Lipsky-Russell/p/book/9781783534173

The screenshot shows the Routledge website interface. At the top, there is a navigation bar with the Routledge logo, a 'CYBER MONDAY SALE 30% off' banner, and links for US, Cart, Account, and Wish List. Below this is a search bar and a main navigation menu with categories like Subjects, Products, Resources, Info/Help, and Featured Authors.

The main content area features the book cover for 'The Sustainable Enterprise Fieldbook: Building New Bridges, Second Edition, 2nd Edition'. The cover shows a modern cable-stayed bridge and a stone arch bridge. The book is edited by Jeana Wirtenberg, Linda M. Kelley, David Lipsky, and William G. Russell. It is published by Routledge and has 478 pages.

Below the cover, there are buttons for 'For Instructors' (Request Inspection Copy) and 'For Librarians' (Available on Taylor & Francis eBooks). A 'Google Preview' button is also present.

On the right side, there is a 'Purchasing Options' section with a currency selector set to USD. It lists three options:

- Paperback:** ISBN 9781783534173, published 2018-08-14. Original price \$39.95, current price \$27.97. Save ~\$11.99. Quantity: 1.
- Hardback:** ISBN 9781783535279, published 2018-08-14. Original price \$150.00, current price \$105.00. Save ~\$45.00. Quantity: 1.
- eBook (VitalSource):** ISBN 9780429453472, published 2018-09-03. Price from \$19.98.
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At the bottom of the page, there is a navigation menu with tabs for Description, Reviews, Contents, Editors, and Subjects. The 'Description' tab is currently selected.

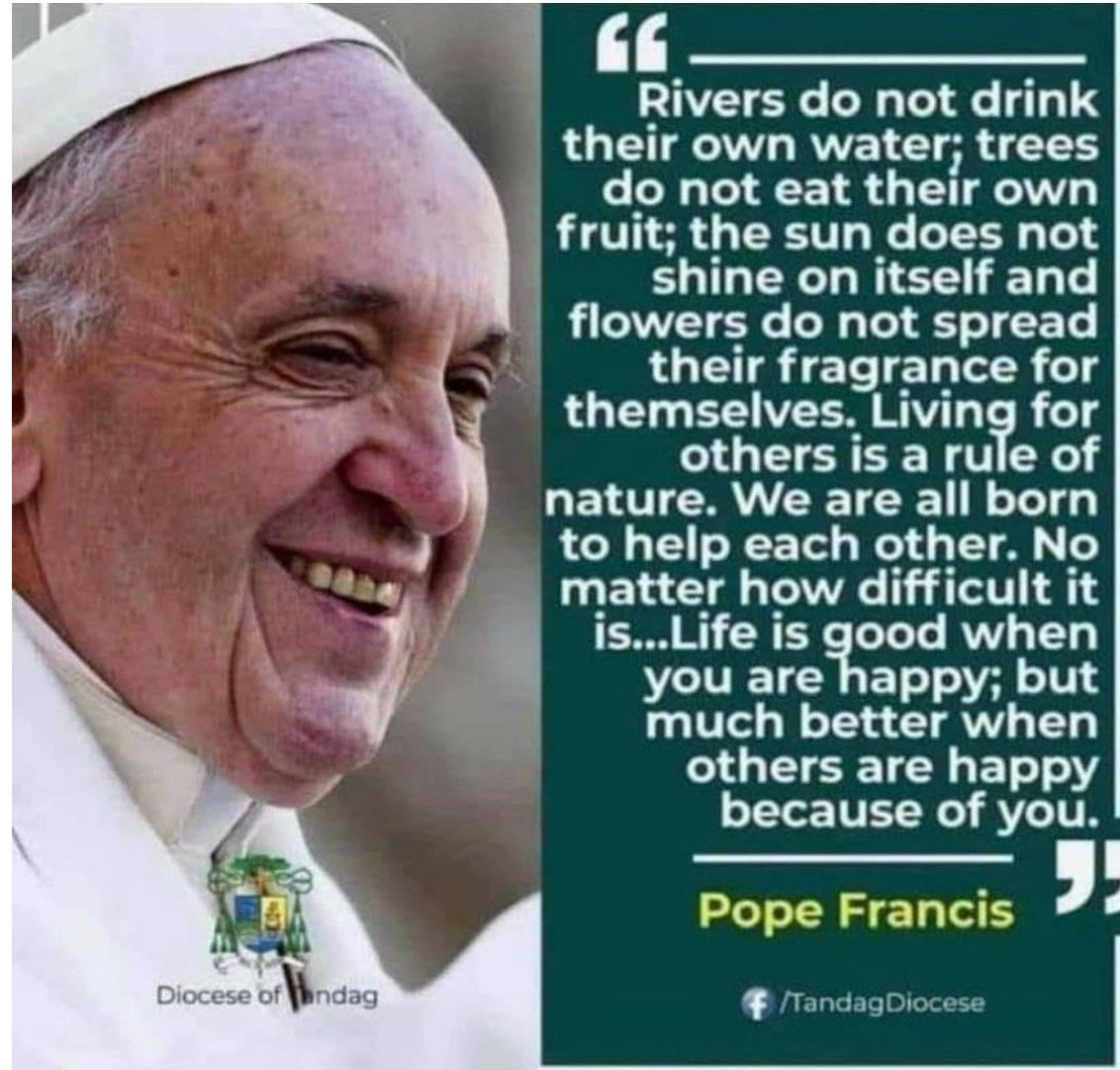


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Questions?

Individuals operating in service of the whole



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Thank you!



We invite you to think on these things during the rest of this conference, to consider the systems effects in your own actions, to explore your own mental models and how they drive your thoughts and actions, the mental models of your communities and your culture.

[Linda M. Kelley](#), [William G. Russell](#), & [Nalini Kumaran](#)

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Transitioning to Green, LLC

<https://www.thesustainableenterprisefieldbook.com/building-new-bridges-to-the-new-future1-567974.html>

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